

## ON THE CONVERSE THEOREM OF APPROXIMATION IN VARIOUS METRICS FOR NONPERIODIC FUNCTIONS

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ABSTRACT. The modulus of smoothness in the norm of space  $L_q$  of nonperiodic functions of several variables is estimated by best approximations by entire functions of exponential type in the metric of space  $L_p$ ,  $1 \leq p \leq q < \infty$ .

### 1. Introduction and preliminaries

A converse theorem of approximation in various metrics for  $2\pi$  periodic functions of several variables was proved in [5]. We prove the theorem of representation for the derivative of a function, and then the analogous converse theorem for nonperiodic functions defined on the space  $R^n$ . In this way we generalize and improve the results from [4, 6.4].

As usually we say that  $f(x_1, \dots, x_n) \in L_p(R^n)$ ,  $1 \leq p < \infty$  if

$$\|f\|_p = \left( \int_{R^n} |f|^p dx_1 \dots dx_n \right)^{1/p} = \left( \int_{R^n} |f|^p dx \right)^{1/p} < \infty, \quad x = (x_1, x_2, \dots, x_n)$$

The notions of the best approximation and of the modulus of smoothness are given in [2] and [4].

Let  $g_\nu = g_{\nu_1 \dots \nu_n}(x_1, \dots, x_n)$ ,  $\nu = (\nu_1, \dots, \nu_n)$ , ( $g_\nu \in L_p$ ) be an entire function of exponential type  $\nu_i$  with respect to the variable  $x_i$  ( $i = 1, 2, \dots, n$ ). The best approximation  $E_{\nu_1, \dots, \nu_n}(f)_p$  of a function  $f \in L_p(R^n)$  by entire functions of exponential type is the quantity

$$E_{\nu_1, \dots, \nu_n}(f)_p = \inf_{g_\nu} \|f - g_{\nu_1 \dots \nu_n}\|_p$$

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The modulus of smoothness of order  $k$  of a function  $f$  with respect to the variable  $x_i$  is

$$\omega_k(f; \delta_i)_p = \omega_k(f; 0, \dots, 0, \delta_i, 0, \dots, 0)_p = \sup_{|h_i| \leq \delta_i} \|\Delta_{h_i}^k f\|_p$$

where

$$\|\Delta_{h_i}^k f = \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} f(x_1, \dots, x_{i-1}, x_i + jh_i, x_{i+1}, \dots, x_n).$$

The derivative of a function  $f$  is denoted by

$$f(\nu_1, \dots, \nu_n) = \frac{\partial^{r_1 + \dots + r_n} f}{\partial x_1^{r_1} \dots \partial x_n^{r_n}}$$

LEMMA 1.1. *If  $A_i \downarrow 0$  as  $i \rightarrow \infty$ , then for  $\lambda = 1, 2, \dots$  and  $s \geq 1$  the following inequalities hold*

$$(1.1) \quad 2^{(\lambda-1)s} A_{2^\lambda} \leq \sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{s-1} A_i$$

$$(1.2) \quad 2^{(\lambda+1)s} A_{2^\lambda} \leq 2^{2s} \sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{s-1} A_i$$

PROOF. We have

$$\sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{s-1} = (2^{\lambda-1} + 1)^{s-1} + \dots + (2^\lambda)^{s-1} \geq (2^{\lambda-1} + 1)^{s-1} \cdot 2^{\lambda-1} \geq (2^{\lambda-1})^s.$$

Therefore

$$(1.3) \quad 2^{(\lambda-1)s} \leq \sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{s-1}.$$

Since the sequence  $A_i$  is monotonic, (1.1) follows from (1.3). Multiplying inequality (1.1) by  $2^{2s}$ , we get inequality (1.2).  $\square$

LEMMA 1.2. *If  $A_i \downarrow 0$  as  $i \rightarrow \infty$ , and  $s \geq 1$ , then the following inequality holds*

$$(1.4) \quad \sum_{i=2^{m-1}+1}^{2^m} i^{s-1} A_i \leq 2^{2s-1} \sum_{i=2^{m-2}+1}^{2^{m-1}} i^{s-1} A_i, \quad m = 2, 3, \dots$$

PROOF. The following inequalities hold because the sequence  $A_i$  is monotonic

$$(1.5) \quad \sum_{i=2^{\frac{m-1}{2}+1}}^{2^m} i^{s-1} A_i \leq A_{2^{m-1}} \sum_{i=2^{\frac{m-1}{2}+1}}^{2^m} i^{s-1},$$

$$(1.6) \quad \sum_{i=2^{m-2}+1}^{2^m} i^{s-1} A_i \geq A_{2^{m-1}} \sum_{i=2^{m-2}+1}^{2^m} i^{s-1},$$

We have

$$\sum_{i=2^{m-1}+1}^{2^m} i^{s-1} \leq (2^m)^{s-1} \cdot 2^{m-1},$$

$$\sum_{i=2^{m-2}+1}^{2^{m-1}} i^{s-1} \geq (2^{m-2})^{s-1} \cdot 2^{m-2} = 2^{1-2s} \cdot (2^m)^{s-1} \cdot 2^{m-1}.$$

From the above two inequalities it follows

$$(1.7) \quad \sum_{i=2^{m-1}+1}^{2^m} i^{s-1} \leq 2^{2s-1} \sum_{i=2^{m-2}+1}^{2^{m-1}} i^{s-1}.$$

Multiplying (1.7) by  $A_{2^{m-1}}$  and in view of (1.5) and (1.6), we get (1.2). □

REMARK 1.1. Lemmas 1.1 and 1.2 are valid for  $0 < s < 1$  also, with different constants  $C = C(s)$ . So inequality (1.1) becomes

$$2^{(\lambda-1)s} A_{2^\lambda} \leq 2^{s-1} \sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{s-1} A_i \quad (0 < s < 1).$$

### 2. Theorem of representation

Let  $g_\nu = g_{\nu_1 \dots \nu_n}(x_1, \dots, x_n)$ ,  $\nu = (\nu_1, \dots, \nu_n)$ , be an entire  $L_p$  function of exponential type  $\nu_i$  with respect to the variable  $x_i$  ( $i = 1, 2, \dots, n$ ), by which the best approximation  $E_{\nu_1, \dots, \nu_n}(f)_p$  is achieved, i.e., let

$$(2.1) \quad E_{\nu_1, \dots, \nu_n}(f)_p = \|f - g_{\nu_1 \dots \nu_n}\|_p.$$

From these entire functions  $g_{\nu_1 \dots \nu_n}(x_1, \dots, x_n)$  we create entire functions

$$(2.2) \quad \xi_\lambda = g_{2^{(\lambda+1)l_1} \dots 2^{\lambda+1} \dots 2^{(\lambda+1)l_n}} - g_{2^{\lambda l_1} \dots 2^\lambda \dots 2^{\lambda l_n}}, \quad \lambda = 0, 1, 2, \dots$$

for given natural numbers  $l_j$  ( $j = 1, 2, \dots, n$ ) where  $l_i = 1$  for a chosen number  $i \in \{1, 2, \dots, n\}$ . The function  $\xi_\lambda$  is entire of exponential type  $2^{(\lambda+1)l_j}$  with respect to  $x_j$ .

THEOREM 2.1. *Let  $f \in L_p(R^n)$  and  $r_j$  be nonnegative integers, and  $l_j$  ( $j = 1, \dots, n$ ) be natural numbers, where  $l_i = 1$  for some  $i \in \{1, 2, \dots, n\}$ . If the following inequality holds for the best approximation of the function*

$$(2.3) \quad \sum_{\lambda=1}^{\infty} \lambda^{q\sigma-1} E_{\lambda^{l_1} \dots \lambda^{l_n}}(f)_p < \infty,$$

where

$$(2.4) \quad \sigma = \sum_{j=1}^n l_j \left( r_j + \frac{1}{p} - \frac{1}{q} \right), \quad 1 \leq p \leq q < \infty,$$

then the function  $f$  has a derivative  $f^{(r_1 \dots r_n)}$  belonging to  $L_q$  and in the sense of  $L_q$  the equality

$$(2.5) \quad f^{(r_1, \dots, r_n)} \stackrel{(q)}{=} g_{1 \dots 1}^{(\nu_1 \dots \nu_n)} + \sum_{\lambda=0}^{\infty} \xi_{\lambda}^{r_1, \dots, r_n}$$

holds.

PROOF. For the sum

$$(2.6) \quad G_m = g_{1 \dots 1} + \sum_{\lambda=0}^m \xi_{\lambda}, \quad m = 0, 1, 2, \dots$$

the equality

$$(2.7) \quad G_m = g_{2^{(m+1)l_1} \dots 2^{m+1} \dots 2^{(m+1)l_n}}$$

holds. In view of (2.1) and (2.7) we conclude that

$$\|f - G_m\|_p = E_{2^{(m+1)l_1} \dots 2^{m+1} \dots 2^{(m+1)l_n}}(f)_p$$

hence, it follows that

$$(2.8) \quad \|f - G_m\|_p \rightarrow 0 \text{ as } m \rightarrow \infty.$$

This means that the equality

$$(2.9) \quad f \stackrel{(p)}{=} g_{1 \dots 1} + \sum_{\lambda=0}^{\infty} \xi_{\lambda}$$

holds in  $L_p$ .

In the next step we prove (2.9) holds in  $L_q$ . For  $\xi_{\lambda}$  we have

$$(2.10) \quad \|\xi_{\lambda}\|_p \leq 2E_{2^{\lambda l_1} \dots 2^{\lambda} \dots 2^{\lambda l_n}}(f)_p.$$

Applying the inequality of various metrics of Nikolsky [2, 3.3.5] we obtain

$$\|\xi_{\lambda}\|_q \leq 2^n \left( \prod_{j=1}^n 2^{(\lambda+1)l_j} \right)^{1/p-1/q} \|\xi_{\lambda}\|_p$$

hence, in view of (2.10), it follows

$$(2.11) \quad \|\xi_{\lambda}\|_q \ll 2^n \left( \prod_{j=1}^n 2^{(\lambda+1)l_j} \right)^{1/p-1/q} E_{2^{\lambda l_1} \dots 2^{\lambda} \dots 2^{\lambda l_n}}(f)_p.$$

We will estimate the sum

$$(2.12) \quad G_t - G_m = \sum_{\lambda=m+1}^t \xi_{\lambda}, \quad m < t,$$

in the norm  $L_q$ . With the aim of estimating the quantity  $A = \|G_t - G_m\|_q^q$  we will apply a method which has been used in several papers. For example, the method was applied in [3] and [1] (see the estimate of  $A$  in Lemma 1). The method was

also applied in [6] to estimate quantity  $A$  from (2.6) to (2.45). Therefore, taking into account (2.11), from (2.12), we get

$$(2.13) \quad \|G_t - G_m\|_q \ll \left\{ \sum_{\lambda=m+1}^t \exp_2 \left( \lambda q \left( \frac{1}{p} - \frac{1}{q} \right) \sum_{j=1}^n l_j \right) E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q (f)_p \right\}^{1/q}.$$

Following the proof in [6] and starting from equality (2.12), we will now prove inequality (2.13). Denote

$$(2.14) \quad A = \|G_t - G_m\|_q^q = \left\| \sum_{\lambda=m+1}^t \xi_\lambda \right\|_q^q, \quad m < t.$$

For a given number  $q$  denote  $[q] + 1 = k$ . This means that  $k \in \{2, 3, \dots\}$  and that  $q/k < 1$ . From (2.14) it follows that

$$(2.15) \quad A = \int \left| \sum_{\lambda=m+1}^t \xi_\lambda \right|^q dx = \int \left| \sum_{\lambda=m+1}^t \xi_\lambda \right|^{\frac{q}{k}k} dx \leq \int \left( \sum_{\lambda=m+1}^t |\xi_\lambda|^{\frac{q}{k}} \right)^k dx, \quad \int = \int_{R^n}.$$

Denote

$$(2.16) \quad \delta_\lambda = |\xi_\lambda|^{q/k}.$$

We get

$$(2.17) \quad A \leq \int \left( \sum_{\lambda=m+1}^t \delta_\lambda \right)^k dx.$$

As  $k = k(q)$  is an integer, then

$$(2.18) \quad \left( \sum_{\lambda=m+1}^t \delta_\lambda \right)^k = \sum_{\lambda_1=m+1}^t \dots \sum_{\lambda_k=m+1}^t \prod_{j=1}^k \delta_{\lambda_j}.$$

Now from (2.17), based on (2.18), we get

$$(2.19) \quad A \leq \sum_{\lambda_1=m+1}^t \dots \sum_{\lambda_k=m+1}^t \int \prod_{j=1}^k \delta_{\lambda_j} dx.$$

Using the equality

$$(2.20) \quad \prod_{j=1}^k D_j = \left( \prod_{r,s=1, r < s}^k D_r D_s \right)^{1/(k-1)}$$

for  $D_j = \delta_{\lambda_j}$  from (2.19) we obtain

$$(2.21) \quad A \leq \sum_{\lambda_1=m+1}^t \dots \sum_{\lambda_k=m+1}^t \int \left( \prod_{r,s=1, r < s}^k \delta_{\lambda_r} \delta_{\lambda_s} \right)^{1/(k-1)} dx.$$

Applying Hölder's integral inequality to a product of  $\frac{1}{2}k(k-1)$  factors, from (2.21) we get that

$$(2.22) \quad A \leq \sum_{\lambda_1=m+1}^t \cdots \sum_{\lambda_k=m+1}^t \prod_{r,s=1, r < s}^k \left[ \int (\delta_{\lambda_r} \delta_{\lambda_s})^{k/2} dx \right]^{2/k(k-1)}.$$

Based on (2.16) we get

$$(2.23) \quad \Gamma_{rs} = \int (\delta_{\lambda_r} \delta_{\lambda_s})^{k/2} dx = \int (|\xi_{\lambda_r}|^{q/2} |\xi_{\lambda_s}|^{q/2}) dx.$$

For  $\alpha = \frac{p+q}{p}$ ,  $\alpha' = \frac{p+q}{q}$ , we have  $\frac{1}{\alpha} + \frac{1}{\alpha'} = 1$ . Therefore by applying Hölder's inequality, we get

$$(2.24) \quad \Gamma_{rs} \leq (\|\xi_{\lambda_r}\|_{q\alpha/2})^{q/2} (\|\xi_{\lambda_s}\|_{q\alpha'/2})^{q/2}.$$

The function  $\xi_\lambda$  is entire of exponential type  $2^{(\lambda+1)l_j}$  with respect to  $x_j$ ,  $j = 1, 2, \dots, n$ . Therefore applying the inequality of Nikolsky [2, 3.3.5] we get

$$(2.25) \quad (\|\xi_{\lambda_r}\|_{q\alpha/2})^{q/2} \ll (\|\xi_{\lambda_r}\|_p)^{q/2} \exp_2 \left( \left( \sum_{j=1}^n \lambda_r l_j \right) \left( \frac{q}{2p} - \frac{1}{\alpha} \right) \right).$$

$$(2.26) \quad (\|\xi_{\lambda_s}\|_{q\alpha'/2})^{q/2} \ll (\|\xi_{\lambda_s}\|_p)^{q/2} \exp_2 \left( \left( \sum_{j=1}^n \lambda_s l_j \right) \left( \frac{q}{2p} - \frac{1}{\alpha'} \right) \right).$$

Using the equality

$$(2.27) \quad \frac{q}{2p} - \frac{1}{\beta} = \frac{q}{2} \left( \frac{1}{p} - \frac{1}{q} \right) + \frac{1}{2} - \frac{1}{\beta}, \quad \beta \in \{\alpha, \alpha'\},$$

from (2.24), based on (2.25), (2.26) and (2.10), we get

$$(2.28) \quad \Gamma_{rs} \ll \exp_2 \left( \left[ \lambda_r \left( \frac{1}{2} - \frac{1}{\alpha} \right) + \lambda_s \left( \frac{1}{2} - \frac{1}{\alpha'} \right) \right] \sum_{j=1}^n l_j \right) \\ \times \left\{ \exp_2 \left( \left[ (\lambda_r + \lambda_s) q \left( \frac{1}{p} - \frac{1}{q} \right) \right] \sum_{j=1}^n l_j \right) E_{2^{\lambda_r l_1 \dots 2^{\lambda_r l_n}}}(f)_p E_{2^{\lambda_s l_1 \dots 2^{\lambda_s l_n}}}(f)_p \right\}^{1/2}.$$

Denote

$$(2.29) \quad H_i = \exp_2 \left( i q \left( \frac{1}{p} - \frac{1}{q} \right) \sum_{j=1}^n l_j \right) E_{2^{i l_1 \dots 2^{i l_n}}}(f)_p.$$

Then

$$(2.30) \quad \Gamma_{rs} \ll \exp_2 \left( \left[ \lambda_r \left( \frac{1}{2} - \frac{1}{\alpha} \right) + \lambda_s \left( \frac{1}{2} - \frac{1}{\alpha'} \right) \right] \sum_{j=1}^n l_j \right) H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}.$$

Since  $\frac{1}{\alpha'} = 1 - \frac{1}{\alpha}$ , it holds that

$$\lambda_r \left( \frac{1}{2} - \frac{1}{\alpha} \right) + \lambda_s \left( \frac{1}{2} - \frac{1}{\alpha'} \right) = -(\lambda_s - \lambda_r) \left( \frac{1}{2} - \frac{1}{\alpha} \right).$$

Therefore from (2.30) it follows

$$(2.31) \quad \Gamma_{rs} \ll \exp_2 \left( -(\lambda_s - \lambda_r) \left( \frac{1}{2} - \frac{1}{\alpha} \right) \sum_{j=1}^n l_j \right) H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}.$$

If we apply Hölder's inequality so that  $\alpha'$  relates to the first factor, and  $\alpha$  to the second one, then in the same way we conclude that

$$(2.32) \quad \Gamma_{rs} \ll \exp_2 \left( -(\lambda_r - \lambda_s) \left( \frac{1}{2} - \frac{1}{\alpha} \right) \sum_{j=1}^n l_j \right) H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}.$$

Based on (2.31) and (2.32) we conclude that

$$(2.33) \quad \Gamma_{rs} \ll \exp_2 \left( -|\lambda_r - \lambda_s| \left( \frac{1}{2} - \frac{1}{\alpha} \right) \sum_{j=1}^n l_j \right) H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}.$$

Denote

$$(2.34) \quad a(\lambda_s, \lambda_r) = \exp_2 \left( -|\lambda_r - \lambda_s| \left( \frac{1}{2} - \frac{1}{\alpha} \right) \sum_{j=1}^n l_j \right),$$

$$(2.35) \quad Q = \prod_{r,s=1, r < s}^k \{a(\lambda_s, \lambda_r) H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}\}^{2/k(k-1)}.$$

From (2.22), based on (2.23), (2.33), (2.34) and (2.35), it follows

$$(2.36) \quad A \leq \sum_{\lambda_1=m+1}^t \cdots \sum_{\lambda_k=m+1}^t Q.$$

We will now estimate the product  $Q$ . Based on (2.20) it holds that

$$\prod_{r,s=1, r < s}^k \{H_{\lambda_r}^{1/2} H_{\lambda_s}^{1/2}\}^{1/(k-1)} = \prod_{j=1}^k H_{\lambda_j}^{1/2}$$

and then, using (2.35), we get

$$(2.37) \quad Q = \prod_{j=1}^k H_{\lambda_j}^{1/k} \prod_{r,s=1, r < s}^k \{a(\lambda_s, \lambda_r)\}^{2/k(k-1)}.$$

It holds  $a(\lambda_s, \lambda_r) = a(\lambda_r, \lambda_s)$  and  $a(\lambda_r, \lambda_r) = 1$ . Therefore

$$(2.38) \quad \prod_{r,s=1, r < s}^k a(\lambda_r, \lambda_s) = \prod_{r=1}^k \prod_{s=1}^k a^{1/2}(\lambda_r, \lambda_s).$$

From (2.37) based on (2.38) it follows

$$(2.39) \quad Q = \prod_{r=1}^k H_{\lambda_r}^{1/k} \left\{ \prod_{s=1}^k [a(\lambda_s, \lambda_r)]^{1/(k-1)} \right\}^{1/k}.$$

Now from (2.36) based on (2.39) we get

$$(2.40) \quad A \ll \sum_{\lambda_1=m+1}^t \cdots \sum_{\lambda_k=m+1}^t \prod_{r=1}^k H_{\lambda_r}^{1/k} \left\{ \prod_{s=1}^k [a(\lambda_r, \lambda_s)]^{1/(k-1)} \right\}^{1/k}.$$

In the inequality (2.40) the product has  $k$  factors

$$L_r = H_{\lambda_r}^{1/k} \left\{ \prod_{s=1}^k [a(\lambda_r, \lambda_s)]^{1/(k-1)} \right\}^{1/k}$$

with the exponent  $1/k$ . The sum of these exponents is 1. Therefore we can apply Hölder's inequality and get

$$(2.41) \quad A \ll \prod_{r=1}^k \left\{ \sum_{\lambda_1=m+1}^t \cdots \sum_{\lambda_k=m+1}^t H_{\lambda_r} \prod_{s=1}^k [a(\lambda_r, \lambda_s)]^{1/(k-1)} \right\}^{1/k}.$$

Denote

$$(2.42) \quad M_r = \sum_{\lambda_1=m+1}^t \cdots \sum_{\lambda_k=m+1}^t H_{\lambda_r} \prod_{s=1}^k [a(\lambda_r, \lambda_s)]^{1/(k-1)}, \quad r = 1, \dots, k.$$

Since  $\lambda_r = m+1, \dots, t$  for every  $r = 1, \dots, k$ , then

$$(2.43) \quad M_1 = M_2 = \cdots = M_k = M.$$

We will estimate, for example,  $M_1 = M$ . Since  $a(\lambda_1, \lambda_1) = 1$ , then from (2.42) after some calculation we get

$$(2.44) \quad M = M_1 = \sum_{\lambda_1=m+1}^t H_{\lambda_1} \sum_{\lambda_2=m+1}^t [a(\lambda_1, \lambda_2)]^{1/(k-1)} \cdots \sum_{\lambda_k=m+1}^t [a(\lambda_1, \lambda_k)]^{1/(k-1)}.$$

Based on (2.34) we conclude that

$$(2.45) \quad \sum_{\lambda_r=m+1}^t [a(\lambda_1, \lambda_r)]^{1/(k-1)} \leq C(p, q), \quad r = 2, 3, \dots, k.$$

Now from (2.44) based on (2.45) it follows

$$(2.46) \quad M \ll \sum_{\lambda_1=m+1}^t H_{\lambda_1}.$$

From (2.41), using (2.42), (2.43) and (2.46), we get

$$(2.47) \quad A \ll \prod_{r=1}^k M^{1/k} = M \ll \sum_{i=m+1}^t H_i.$$

Based on (2.47) and (2.29) we conclude that

$$(2.48) \quad A \ll \sum_{i=m+1}^t \exp_2 \left( iq \left( \frac{1}{p} - \frac{1}{q} \right) \sum_{j=1}^n l_j \right) E_{2^{i_1} \dots 2^{i_n}}^q (f)_p.$$



Finally, from (2.48), based on (2.14), the inequality (2.13) follows. If  $r_j = 0$ , then  $\sigma = (\frac{1}{p} - \frac{1}{q}) \sum_{j=1}^n l_j$ , therefore in view of (2.3) and (2.13) we deduce that the sequence  $\{G_m\}$  is a Cauchy sequence in the space  $L_q$  and therefore it tends to a function  $f$  in  $L_q$  [2, 1.3.9]. Thus, we have

$$(2.49) \quad f \stackrel{(q)}{=} g_{1\dots 1} + \sum_{\lambda=0}^{\infty} \xi_{\lambda}.$$

In the next step we prove equality (2.5). To do it we estimate the quantity

$$(2.50) \quad B = \|G_t^{(r_1, \dots, r_n)} - G_m^{(r_1, \dots, r_n)}\|_q^q = \left\| \sum_{\lambda=m+1}^t \xi_{\lambda}^{(r_1, \dots, r_n)} \right\|_q^q.$$

Applying the inequality of the Bernstein type [2, 3.2.2], we get

$$\|\xi_{\lambda}^{(r_1, \dots, r_n)}\|_q \leq \left( \prod_{j=1}^n 2^{l_j r_j} \right) 2^{\lambda(l_1 r_1 + \dots + l_n r_n)} \|\xi_{\lambda}\|_q$$

hence, in view of (2.11), it follows

$$(2.51) \quad \|\xi_{\lambda}^{(r_1, \dots, r_n)}\| \ll 2^{\lambda \sigma} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}(f)_p.$$

Now, using for  $B$  the same procedure by which we estimated  $A$ , we get (see the estimation of  $B$  in [6, (2.50)–(2.65)])

$$(2.52) \quad \|G_t^{(r_1, \dots, r_n)} - G_m^{(r_1, \dots, r_n)}\|_q \ll \left\{ \sum_{\lambda=m+1}^t 2^{\lambda q \sigma} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}(f)_p \right\}^{1/q}.$$

In view of condition (2.3) and inequality (2.52) we conclude that the sequence  $\{G_m^{(r_1, \dots, r_n)}\}$  is a Cauchy sequence in  $L_q$ . If we denote  $G_m^{(r_1, \dots, r_n)} \rightarrow h$ ,  $m \rightarrow \infty$ , then we conclude (see [2, 4.4.7] or [4, 6.3.31]) that  $h = f^{(r_1, \dots, r_n)}$ . This means that equality (2.5) holds.  $\square$

### 3. The converse theorem of approximation

Now we are going to prove a converse theorem of approximation, analogously to the result in [5] and give some consequences.

**THEOREM 3.1.** *Let the conditions of Theorem 2.1 be satisfied (the condition (2.3) where  $\sigma$  is given by (2.4)), and let  $k$  and  $m_i$  be given natural numbers. Then the inequality*

$$(3.1) \quad \omega_k \left( f^{(r_1, \dots, r_n)}; 0, \dots, 0, \frac{1}{m_i}, 0, \dots, 0 \right)_q \leq C \left\{ \frac{1}{m_i^k} \left[ \|f\|_p^q + \sum_{\lambda=1}^{m_i} \lambda^{q(\sigma+k)-1} E_{\lambda^{l_1} \dots \lambda^{l_n}}^q(f)_p \right]^{1/q} + \left[ \sum_{\lambda=m_i+1}^{\infty} \lambda^{q\sigma-1} E_{\lambda^{l_1} \dots \lambda^{l_n}}^q(f)_p \right]^{1/q} \right\}$$

holds, where the constant  $C$  does not depend either on  $f$  or  $m_i = 1, 2, \dots$ .

PROOF. For the modulus of smoothness  $\omega_k$  of the derivative  $f^{(r_1, \dots, r_n)}$  of the function  $f$  we have

$$(3.2) \quad \omega_k(f^{(r_1, \dots, r_n)}; 1/m_i)_q \leq \omega_k(f^{(r_1, \dots, r_n)} - G_m^{(r_1, \dots, r_n)}; 1/m_i)_q \\ + \omega_k(G_m^{(r_1, \dots, r_n)}; 1/m_i)_q = I_1 + I_2.$$

For  $I_1$  we obtain

$$(3.3) \quad I_1 \ll \|f^{(r_1, \dots, r_n)} - G_m^{(r_1, \dots, r_n)}\|_q = \left\| \sum_{\lambda=m+1}^{\infty} \xi_{\lambda}^{(r_1, \dots, r_n)} \right\|_q.$$

In the same way by which inequality (2.17) was established, in view of (3.3), we conclude that

$$(3.4) \quad I_1 \ll \left\{ \sum_{\lambda=m+1}^{\infty} 2^{\lambda q \sigma} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q(f)_p \right\}^{1/q}.$$

In virtue of the properties of the modulus of smoothness [2, 4.4.4(2)] we have

$$(3.5) \quad I_2 = \omega_k(G_m^{(r_1, \dots, r_n)}; 1/m_i)_q \leq \frac{1}{m_i^k} \|G_m^{(r_1, \dots, r_i+k, \dots, r_n)}\|_q.$$

In the same way by which the inequality (2.17) was established, putting  $r_i + k$  instead of  $r_i$ , and since  $l_i = 1$ , we get the estimate

$$(3.6) \quad \|G_m^{(r_1, \dots, r_i+k, \dots, r_n)}\|_q \ll \left\{ \|f\|_p^q + \sum_{\lambda=0}^{\infty} 2^{\lambda q(\sigma+k)} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q(f)_p \right\}^{1/q}.$$

Now, in view of (3.2), (3.4), (3.5) and (3.6), we obtain

$$(3.7) \quad \omega_k(f^{(r_1, \dots, r_n)}; 1/m_i)_q \ll \left\{ \sum_{\lambda=m_i+1}^{\infty} 2^{\lambda q \sigma} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q(f)_p \right\}^{1/q} \\ + \frac{1}{m_i^k} \left\{ \|f\|_p^q + \sum_{\lambda=0}^{m_i} 2^{\lambda q(\sigma+k)} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q(f)_p \right\}^{1/q}.$$

Let

$$(3.8) \quad q(\sigma + k) = s, \quad E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}^q(f)_p = A_{2^{\lambda}}.$$

Then, using inequality (1.1), (Lemma 1.1), we get

$$\sum_{\lambda=0}^m 2^{\lambda s} A_{2^{\lambda}} = A_1 + 2^s A_2 + 2^s \sum_{\lambda=2}^m 2^{(\lambda-1)s} A_{2^{\lambda}} \leq A_1 + 2^s A_2 + 2^s \sum_{\lambda=2}^m \sum_{i=2^{\lambda-1}+1}^{2^{\lambda}} i^{s-1} A_i \\ = A_1 + 2^s A_2 + 2^s \left\{ \sum_{i=3}^{2^{m-1}} i^{s-1} A_i + \sum_{i=2^{m-1}+1}^{2^m} i^{s-1} A_i \right\}.$$

Using Lemma 1.2, from the previous inequality, it follows

$$(3.9) \quad \sum_{\lambda=0}^m 2^{\lambda s} A_{2^\lambda} \ll \sum_{i=1}^{2^{m-1}} i^{s-1} A_i.$$

Choosing  $m$  so that  $2^{m-1} \leq m_i < 2^m$ , from (3.9) it follows  $\sum_{\lambda=0}^m 2^{\lambda s} A_{2^\lambda} \ll \sum_{i=1}^{m_i} i^{s-1} A_i$ , i.e.,

$$(3.10) \quad \sum_{\lambda=0}^m 2^{\lambda q(\sigma+k)} A_{2^\lambda} \ll \sum_{i=1}^{m_i} i^{q(\sigma+k)-1} A_i.$$

To estimate the first sum in (3.7) we use (1.2), (Lemma 1.1.), and get

$$\begin{aligned} \sum_{\lambda=m+1}^{\infty} 2^{\lambda q\sigma} A_{2^\lambda} &= 2^{-q\sigma} \sum_{\lambda=m+1}^{\infty} 2^{(\lambda+1)q\sigma} A_{2^\lambda} \leq 2^{-q\sigma} 2^{2q\sigma} \sum_{\lambda=m+1}^{\infty} \sum_{i=2^{\lambda-1}+1}^{2^\lambda} i^{q\sigma-1} A_i \\ &= 2^{q\sigma} \{ (2^m + 1)^{q\sigma-1} A_{2^{m+1}} + \dots + (2^{m+1})^{q\sigma-1} A_{2^{m+1}} + \dots \}, \end{aligned}$$

hence, using that  $m_i < 2^m$ , it follows

$$(3.11) \quad \sum_{\lambda=m+1}^{\infty} 2^{\lambda q\sigma} A_{2^\lambda} \leq 2^{q\sigma} \sum_{\lambda=m_i+1}^{\infty} i^{q\sigma-1} A_i.$$

Putting  $A_i = E_i^q$  (equality (3.8)), from (3.7) and (3.11), it follows (3.1).  $\square$

**COROLLARY 3.1.** *For  $n = 1$  it holds that  $l_j = 1$ ,  $r_j = r$ ,  $\sigma = r + \frac{1}{p} - \frac{1}{q}$  and we get the corresponding theorems and inequalities for a function of one variable.*

**COROLLARY 3.2.** *If  $l_j = 1$ ,  $j = 1, 2, \dots, n$  and  $r_j = 0$ ,  $j \neq i$ ,  $r_i = r$ , then  $\sigma = n(\frac{1}{p} - \frac{1}{q}) + r$ . Therefore, the condition*

$$\sum_{\lambda=1}^{\infty} \lambda^{q[r+n(1/p-1/q)]-1} E_{\lambda \dots \lambda}^q(f)_p < \infty$$

*implies that the function  $f$  has a derivative  $\partial^r f / \partial x^r$  with respect to any variable  $x_i$  belonging to  $L_q$ . For the modulus of smoothness the corresponding inequality holds.*

**COROLLARY 3.3.** *Applying the inequality  $(\sum a_k)^s \leq \sum (a_k)^s$ ,  $a_k \geq 0$ ,  $0 < s \leq 1$ , for  $s = 1/q$ , from (3.7) it follows*

$$\begin{aligned} \omega_k(f^{(r_1, \dots, r_n)}; 1/m_i)_q &\ll \sum_{\lambda=m_i+1}^{\infty} 2^{\lambda\sigma} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}(f)_p \\ &+ \frac{1}{m_i^k} \left\{ \|f\|_p^q + \sum_{\lambda=0}^{m_i} 2^{\lambda(\sigma+k)} E_{2^{\lambda l_1} \dots 2^{\lambda l_n}}(f)_p \right\}. \end{aligned}$$

wherefrom

$$(3.12) \quad \omega_k(f^{(r_1, \dots, r_n)}; 1/m_i)_q \ll \sum_{\lambda=m_i+1}^{\infty} \lambda^{\sigma-1} E_{\lambda^{t_1} \dots \lambda^{t_n}}(f)_p + \frac{1}{m_i^k} \left\{ \|f\|_p + \sum_{\lambda=1}^{m_i} \lambda^{\sigma+k-1} E_{\lambda^{t_1} \dots \lambda^{t_n}}(f)_p \right\}.$$

For  $n = 1$  inequality (3.12) implies inequality 6.4.1(3) in [4]. For  $r_j = 0$ ,  $j \neq i$ ,  $r_i = r$  ( $j = 1, \dots, n$ ) it holds that  $\sigma = r + (\frac{1}{p} - \frac{1}{q}) \sum_{j=1}^n l_j$ , and from (3.12) it follows inequality 6.4.3(8) in [4].

**COROLLARY 3.4.** For  $p = q$  it holds that  $\sigma = \sum_{j=1}^n l_j r_j$ , and from (3.12) we get the corresponding result in  $L_p$ .

**REMARK 3.1.** Some results of this paper were presented at the First Mathematical Conference of the Republic of Srpska (Pale, May 21-22, 2011).

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